

Significant Financial Interests (SFI) Disclosure Form

(For sponsored research applicable to SJU FCOI Policy)

Investigator:

Department:

College/Unit:

Type of Disclosure: Initial/Annual Report Change in SFI

Financial Conflict of Interest (FCOI) Training: Completed/Current Pending Not Completed

(Training required upon award from PHS, NSF or other applicable sponsor and must be renewed every 4 years)

Significant Financial Conflict of Interest (SFI) is defined as anything of monetary value received or held by an investigator or family member (spouse, domestic partner, parents, siblings, and children), whether or not the value is readily ascertainable, that reasonably appears to be related to the investigator's institutional responsibilities. SFI includes:

(If you are reporting an SFI please check one that best describes the SFI.)

- Salary and other payments for services (e.g., consulting fees, honoraria, or paid authorships for other than scholarly works) when the aggregated value received from a publicly traded entity during the 12-month period preceding the disclosure, and the value of any equity interest during the 12-month period preceding or as of the date of disclosure, exceeds \$5,000; or
- Salary or other payments for services, when the aggregated value received from a non-publicly traded entity during the 12-month period preceding the disclosure exceeds \$5,000; or
- Equity interests (e.g., stocks, stock options, or other ownership interests) in a non-publicly traded company of any value during the 12-month period preceding or as of the date of disclosure; or
- Income related to intellectual property rights and interests (e.g., patents, trademarks, service marks, and copyrights) not reimbursed through SJU; or
- Reimbursed or sponsored travel that is related to an investigator's institutional responsibilities. This includes travel that is paid on behalf of the investigator rather than reimbursed, even if the exact monetary value is not readily available. It excludes travel reimbursed or sponsored by the U.S. Federal, state, or local governmental agencies, U.S. institutions of higher education, research institutes affiliated with institutions of higher education, academic teaching hospitals, and medical centers.

SFI does NOT include: 1) Salary, royalties, or other remuneration for SJU; 2) income from the authorship of academic or scholarly works; 3) income from seminars, lectures, or teaching engagements sponsored by or from advisory committees or review panels for U.S. Federal, state or local governmental agencies, U.S. institutions of higher education, U.S. research institutes affiliated with institutions of higher education, academic teaching hospitals, or medical centers; or 4) equity interests or income from investment vehicles, such as mutual funds and retirement accounts, so long as the investigator does not directly control the investment decision made in these vehicles.

Investigator certification:

(mark one as applicable)

- I certify **no Significant Financial Interests** related to my SJU institutional responsibilities; or
- I **disclose Significant Financial Interests** (as indicated above) which may be related to my SJU institutional responsibilities. These interest(s) are described on Attachment A.

By signing below, I certify that I have read and understand Saint Josephs University's Financial Conflict of Interests Policy, I understand that, if awarded, disclosure is required annually, and upon any changes throughout the duration of the applicable sponsored project.

Investigator Signature:

Date:

Please submit the completed form to ors@sju.edu

Significant Financial Interests (SFI) Disclosure Form – Attachment A

Describe the external entity, the type of business, the role/nature of the financial interest that you or your family has in the entity, as well as any other relevant details: